



## Technology Advisory, Security and Compliance Services

RSI is a trusted resource to help broker dealers and financial advisors navigate today's turbulent Cybersecurity waters and deploy best-in-class security solutions. As a Preferred Partner to HD Vest, 1st Global, Cetera, and First Allied, among others, we can help you accomplish the security goals cited in the FINRA Cybersecurity checklist.

We're well suited to help you proactively plan for core business risks as well as provide incident response if needed. Our security experts can assess how your unique attack surface has evolved over time and whether your Cybersecurity planning and mitigation strategies have kept pace. Focus on the strategies and logistics that drive your future, and let us focus on keeping you secure.

Since 2008, RSI has helped organizations of all sizes achieve risk-management success and protected our customer's critical assets and reputation. Let's talk about how we can confront this evolving threat landscape together, and walk away confident you've met a business and technology partner that deeply understands your company's CyberSecurity needs.



### SEC Reg S-P Cybersecurity Program

Financial service firms to have policies and procedures addressing the protection of customer information and records. This includes protecting against any anticipated threats or hazards to the security or integrity of customer records.



### Managed Vulnerability Assessment & Penetration Testing

RSI gives you 360 degree perspective of potential system threats. Our Vulnerability Assessment identifies and reports on opportunities for breach, finding unprotected points of entry into your IT infrastructure. Our Penetration Test simulates a real-world attack on your network and external and mobile applications, running automated scans encompassing the Open Web Application Security Project (OWASP) Top 10 vulnerabilities.



### Free PII Scanner

Add an early warning system to your compliance toolbox. Our PII Scanner reveals your potential liability exposure by detecting confidential client information that you may have stored on your PC or server. We'll tell you the records that exist (Name, Social Security, Credit Card) as well as how many reside on your system. Our full product will export all names and PII to give you the information you need to reduce scope.



### Forensics

Organizations rely on urgent and expedited resolutions after data and security breaches occur. Performing In-depth, root-cause analysis allows us to provide additional security measures to prevent future threats.



### IT Asset Management

Our award-winning asset management system autonomously scans your computer's software and hardware, monitoring for needed driver updates or alerting us of unauthorized changes to system configuration. This allows you to make cost-effective decisions on purchasing, redistribution, and re-purposing of your organization's IT infrastructure.



### Managed Security & Compliance

Keep up with the most advanced security technology while lowering your cost of ownership with our managed services. We customize our industry-leading managed security services to fit your needs while managing your organization's need to comply with regulations and standards.



### Incident Report

Responding to a data-loss incident quickly and in an organized manner is paramount in containing a breach, limiting exposure, stemming losses and preserving evidence.



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